



## VT RM Funds ICVC Junior ISA Transfer Form

This form duly completed should be sent to:

Valu-Trac Investment Management Limited, Orton, Fochabers, Moray IV32 7QE

Tel: 01343 880344, Investor Services: 0330 678 4760, or for email, [investorservices@valu-trac.com](mailto:investorservices@valu-trac.com)

If sent by email, please confirm to Valu-Trac Investment Management Limited by telephone immediately.

### Important Information:

Before investing, you should read an up-to-date version of the Prospectus, Key Investor Information Document (KIID) for the fund in which you wish to invest. There you will find more information about the investment, including details about the fund's different share classes: be sure to choose the appropriate one(s) for you. They also explain fund charges, including the ongoing charge, a deduction from your fund which Valu-Trac Investment Management Limited makes to cover the costs of investment management and administration.

If you have any questions or would like a free up-to-date copy of the KIID, Prospectus, most recent Annual or Interim Fund Reports or additional application forms, please visit [www.valu-trac.com](http://www.valu-trac.com) call: 0330 678 4760, or email [investorservices@valu-trac.com](mailto:investorservices@valu-trac.com). All literature provided will be in English.

If a financial adviser has arranged this deal, depending upon the share class chosen, commission may be payable where advice has not been given.

Before you invest:

- If you are resident in the USA, in order to comply with US law, we are unable to accept your investment. If you submit an application to us it will be rejected and returned to you.
- If you are unsure whether this investment is suitable for your or the child's needs, please consult a financial adviser.

A Junior ISA must be opened by either the child themselves if aged 16 or over and investing on their own behalf, or by someone with parental responsibility or legal guardianship for the child. This person will be the 'Registered Contact' for the account. Please note that all subscriptions to the VT Junior ISA are treated as gifts to the child.

### 1. Details of Applicant (Parent or guardian with parental responsibility)

Please complete this section if you are the registered contact. If the child is over 16 and investing on their own behalf this section does not need to be completed. Please note, if you do not complete this information (if applicable), your application may be rejected.

Title	<input type="text"/>
Surname	<input type="text"/>
Forenames	<input type="text"/>
Address	<input type="text"/> <input type="text"/>
Postcode	<input type="text"/>
Country	<input type="text"/>
Mobile Number*	<input type="text"/>
Email*	<input type="text"/>
Date of Birth	<input type="text"/>
Town & Country of Birth	<input type="text"/>
National Insurance Number	<input type="text"/> n/a <input type="checkbox"/>

**\*It is mandatory to provide both a contact number and an email address to allow us to process investor verification (details below)**

### 2. Bank Details of Applicant

Name of Bank	<input type="text"/>
Address	<input type="text"/> <input type="text"/>
Account Name	<input type="text"/>
Account Number	<input type="text"/>
Bank Sort Code	<input type="text"/>
or Bank Swift Address	<input type="text"/>
or Bank ABA Number	<input type="text"/>

Distributions (if applicable) will be paid to the bank account above



## Payment Method – Payment is by wire transfer only

Funds should be wired for value on the settlement date of this transaction which will have been agreed in advance with Valu-Trac Investment Management Limited and which will be stated on the Contract Note issued to you by Valu-Trac Investment Management Limited. If funds are received on any date other than this agreed settlement date they may be returned by the Bank.

### 3. Child's Details

This section must be completed. Please provide the child's details only. Please note, if you do not fully complete this section, your application may be rejected.

Title (Master/Miss)	<input type="text"/>
Surname	<input type="text"/>
Forenames	<input type="text"/>
Address	<input type="text"/> <input type="text"/>
Postcode	<input type="text"/>
Country	<input type="text"/>
Nationality	<input type="text"/>
Date of Birth	<input type="text"/>
Town & Country of Birth	<input type="text"/>
Relationship to Applicant	<input type="text"/>

If the child is over the age of 16 & investing on their own behalf, please provide the below details;

National Insurance Number	<input type="text"/>	N/A <input type="checkbox"/>
Mobile Number*	<input type="text"/>	
Email*	<input type="text"/>	

**\*It is mandatory to provide both a contact number and an email address to allow us to process investor verification if you are over the age of 16 and applying on behalf of yourself (details below)**

### 4. Junior ISA Transfer Authority (to be completed by the Junior ISA investor)

To the existing Junior ISA Manager	<input type="text"/>
For the attention of	<input type="text"/>
Contact telephone number	<input type="text"/>
Address	<input type="text"/> <input type="text"/>
Junior ISA Plan Reference	<input type="text"/>
Transfer Amount	£ <input type="text"/>
or Percentage	<input type="text"/> %

**Please note all transfers are to be cash-only and will be invested solely in VT RM Funds ICVC share class as indicated on this form.**

I authorise my existing Junior ISA Manager to transfer my Junior ISA (account number above) to Valu-Trac Investment Management Limited to be applied to purchase shares in the VT RM Funds ICVC share class as indicated on this form. I authorise my existing Junior ISA Manager to provide Valu-Trac Investment Management Limited with any information, written or non-written, concerning the Junior ISA and to accept any instructions from them relating to the Junior ISA being transferred.

Where a period of notice is required for closure/part transfer of the existing Junior ISA, I give my consent to either:

- serve the full notice period before this instruction can be processed; or
- proceed immediately with the transfer and apply any consequential charges

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### Share Class Selection

Fund:	VT RM Alternative Income Fund		
Share Class:	Accumulation	Income	
Retail	<input type="checkbox"/>	<input type="checkbox"/>	
Institutional	<input type="checkbox"/>	<input type="checkbox"/>	
Amount:	£ <input type="text"/>	or	% <input type="text"/>

If you have any questions please contact Valu-Trac Investment Management Limited Investor Services at [investorservices@valu-trac.com](mailto:investorservices@valu-trac.com) or for telephone contact on 0330 678 4760.



## Data Protection

For full information on how VT processes, personal information and what your rights are, please see our Privacy Policy online at [www.valu-trac.com](http://www.valu-trac.com). Your data will be shared with our investor verification partner Smartsearch for investor verification purposes only. The Smartsearch privacy policy can be found at [smartsearch.com/privacy-notice](http://smartsearch.com/privacy-notice). Please refer to our website for further information on Smartsearch and our investor verification process.

## 5. Investor Verification Requirements

If you are an existing shareholder, please insert your account number:

(This can be found on your annual statement or contract note.)

If you are an existing investor but have not yet completed the investor verification process via Smartsearch you will need to follow the details below as for a new shareholder.

If you are a new shareholder you will need to complete full investor verification via SmartSearch, please see below for more details:

Valu-Trac Investment Management Limited has partnered with SmartSearch UK to complete our investor verification. For further details about Smartsearch and the investor verification process please refer to our website.

On receipt of the completed application form stating an email address and mobile phone number you will receive an email from [clientservices@smartsearchuk.com](mailto:clientservices@smartsearchuk.com), you will also receive an SMS from Smartsearch with instructions on how to complete the verification process.

This will usually require you to upload a photo of a proof of ID document, such as driving licence or passport, and completing the facial recognition process involving capturing a live image of yourself.

Please note that for joint applicants, documentation in respect of each applicant is required.

Until the verification process is fully completed by us, we will not be able to process your subscription or undertake any transactions on your behalf. Please ensure you complete the investor verification process detailed above to minimise any inconvenience.

You will be verified by us, in association with our identity verification partner Smartsearch based on the information provided above, and you do not need to provide any further documentation unless we specifically request more details from you.

## 6. Junior ISA Transfer Declaration and Authorisation

I apply to transfer a stocks and shares Junior ISA during the tax year 2025/2026.

I declare that:

(Please tick one of the following)

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I am the child

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I have parental responsibility for that child

- I am 16 years of age or over, and am the child or have parental responsibility for the child, who will hold the Junior ISA.
- I do/the Child who will hold the Junior ISA does not hold a Child Trust Fund
- I will be the Registered Contact for the Junior ISA
- The child is resident in the UK, or is a UK Crown servant, a dependant of a UK Crown servant or is married to/in a civil partnership with a UK Crown servant
- I have not subscribed and will not subscribe to another Junior ISA of this type for this child
- I am not aware that this child has another Junior ISA of this type
- I am not aware of other Junior ISA subscriptions that will result in this child exceeding the annual limit
- I will not knowingly make subscriptions to Junior ISAs for this child that will result in the subscription limit being exceeded.

I authorise Valu-Trac Investment Management Limited:

- to hold the child's subscriptions, Junior ISA investments, interest, dividends and any other rights or proceeds in respect of those investments and cash
- to make on the child's behalf any claims to relief from tax in respect of Junior ISA investments

The child named above will be the beneficial owner of the account investments.

I agree to the Junior ISA Terms and Conditions, and I confirm that to the best of my belief the information in this form is true.

Full Name of Authorised Person

Signature of Authorised Person

Date



## Junior ISA Terms & Conditions

A Junior ISA is an investment account of an eligible child (the child) managed in accordance with The Individual Savings Account Regulations 1998 and any other applicable regulations made from time to time by HM Treasury (the ISA Regulations) under these terms and conditions agreed between the Valu-Trac Investment Management Limited, as the Junior ISA provider and manager (we or us) and the registered contact (you or your). These terms and conditions will come into force, subject to your right to cancel, once your valid application and subscription have been accepted by us.

### Registered Contract

1. The registered contact is the only person who can give instructions to us for the management of the Junior ISA.
2. There can only be one registered contact for a Junior ISA at any time. The first registered contact will be the person who opens the Junior ISA. That person can be:
  - a. The child: Where the child is aged 16 or over, applies to open the Junior ISA, and does not suffer from a mental illness.
  - b. A person with parental responsibility: Where an application is made on behalf of a Junior ISA Holder, it can only be done by a person declaring that they have parental responsibility for the Junior ISA Holder. Such persons include the child's natural parents, persons who have legally adopted the child, persons who have been granted parental responsibility by the Courts and a local authority that has parental responsibility for a child in its care.
3. If the first registered contact is a person with parental responsibility, the role of the registered contact can be passed on to another person as follow:
  - a. Upon the completion of an application and the obtaining of the consent of the existing Registered Contact, if the applicant is a person with parental responsibility; or
  - b. Upon the completion of an application if the applicant is the child and is between 16 and 18 years of age.
4. Once the child has assumed the role of registered contact, the role cannot be passed to another person.
5. All correspondence (including statements) will be sent to the registered contact.

### Delegation

We may delegate any of our functions or responsibilities under these terms and conditions to another company. We will satisfy ourselves that any person to whom we delegate any functions or responsibilities under these terms and conditions agreed with the registered contact is competent to carry out those functions and responsibilities.

### Investments

1. For investments in the Junior ISA, we hold Shares for you and register them in your name.
2. In the case of the Junior ISA the child is and remains the beneficial owner of the Shares but the child (or the registered contact, or anyone on behalf of the child) must not use them as security for a loan. You or where applicable the child may only dispose of or transfer an interest in any Shares through us and may not create (or have outstanding) any charge or security on or over any Shares.
3. All documents evidencing title to Shares are held by us or as we direct.
4. Your investment will be allocated to the Junior ISA as appropriate. All subscriptions made to a Junior ISA are regarded as irrevocable gifts to the child and as such cannot be repaid to the subscriber at a later date if the subscriber changes their mind.
5. You may not open a Junior ISA if the child subscribed to a Child Trust Fund. A Junior ISA can only be opened and an initial Investment made while the child is resident in the UK for tax purposes. This is unless the child is a Crown employee (a person holding public office or employment under the Crown and paid out of the public revenue of the UK or of Northern Ireland), or the child is married to or in a civil partnership with a Crown employee serving overseas. Once an initial investment within a Junior ISA has been made, further Investments within the same Junior ISA can be made in that or subsequent tax years, even if the child is no longer tax resident within the UK.
6. We may impose minimum subscription amounts for each fund held within your Junior ISA. These minimum subscription amounts are disclosed in the Prospectus. We may reject any subscription below these amounts.
7. We will notify you if, by reason of any failure to satisfy the provisions of the ISA regulations, a Junior ISA has, or will, become void.

### Withdrawals and Transfers

1. In accordance with the ISA Regulations withdrawals from the VT Junior ISA can only be made with the prior agreement of HMRC where the child has a terminal illness or when the Junior ISA is closed. A Junior ISA can only be closed on the death of the child, on the child reaching their 18th birthday or on the direct authority of HMRC (where the Junior ISA is void).
2. Withdrawal on child reaching their 18th birthday: A Junior ISA will close automatically on, or the next Business Day after, the 18th birthday of the child. Investments will be transferred to a ISA, remaining in the same fund(s) and share class(es) as were held in the Junior ISA.
3. On the instructions of you and within the time stipulated by you, the Junior ISA, shall be transferred to another Junior ISA manager in accordance with the ISA Regulations relating to transfers. The ISA manager may place a minimum period on the time stipulated by you for transfer. This period, which represents the period the manager requires for practical implementation of transfer requests, will not exceed 30 days.

### Fees and Charges

We may deduct from any payment or transfer any amount due to us for outstanding fees, charges and expenses. Normal fees and charges apply if your Junior ISA closes other than when we cease to be the Junior ISA Manager. We also retain sufficient cash to discharge any tax liability for which we must account to HMRC under ISA Regulations. We may sell any Shares which were held in your Junior ISA to meet such liabilities as soon as is reasonably practicable.

### Meetings and Voting

Subject to any legal restriction, we can arrange for you to attend meetings of holders, exercise voting rights and receive additional information sent to holders. We do not exercise voting rights for any of your Shares unless you instruct us on the form of voting instruction which we issue when appropriate.

### Fund Reports

We will arrange for you to receive a copy of each annual and interim report and accounts of the Company issued from the date of their investment.

### Law and Jurisdiction

These terms and conditions are governed by English Law and are subject to the non-exclusive jurisdiction of the English courts.